Docket No.

284330US0PCT

APR 2 6 2006 PU

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# IN THE UNITED STATES ATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Ramon MERCE VIDAL, et al.

SERIAL NO:

10/566,400

GAU:

FILED:

January 30, 2006

EXAMINER:

FOR:

I-SULFONYLINDOLE DERIVATIVES, THEIR PREPARATION AND THEIR USE AS 5-HT6 LIGANDS

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

| Form PTO 1449  |       | U.S. DEPARTMENT                       | OF COMMERCE  | DOCKET NO.                       |   | SERIAL NO.         |   |    |
|--|-------|---------------------------------------|--------------|----------------------------------|---|--------------------|---|----|
| (Modified) PATENT AND TRADEMARK OFFICE   |       |                                       | 284330US0PCT |                                  | 10/566,400                              |                    |   |    |
|  |       |                                       |              | APPLICANT                        |   |                    |   |    |
| LIST OF R  | REFER | RENCES CITED BY AP                    | PLICANT      | Ramon MERCE VIDAL, et al.        |   |                    |   |    |
|  |       |                                       |              | FILING DATE                      |   | GROUP              |   |    |
|  |       |                                       |              | January 30, 2006                 |   |                    |   |    |
| U.S. PATENT DOCUMENTS  |       |                                       |              |                                  |   |                    |   |    |
| EXAMINER<br>INITIAL  |       | DOCUMENT<br>NUMBER                    | DATE         | NAME                             | CLASS                                   | SUB<br>CLASS       |   |    |
|  | AA    | 6 187 805                             | 2/13/2001    | PINEIRO, Jose Luis Castro et al. |   |                    |   |    |
|  | AB    | 2002/0128477                          | 9/12/2002    | ZHOU, Ping et al.                |   |                    |   |    |
|  | AC    | 5 382 670                             | 1/17/1995    | SUMMERS, James B. et al.         |   |                    |   |    |
|  | AD    | · · · · · · · · · · · · · · · · · · · |              |                                  |   |                    |   |    |
|  | ΑE    |                                       |              |                                  |   |                    |   |    |
|  | AF    |                                       |              |                                  |   |                    |   |    |
|  | AG    |                                       |              |                                  |   |                    |   |    |
|  | AH    |                                       |              |                                  |   |                    |   |    |
|  | ΑI    |                                       |              |                                  |   |                    | • |    |
|  | AJ    |                                       |              |                                  |   |                    |   |    |
|  | AK    | •                                     |              |                                  |   |                    |   |    |
|  | AL    |                                       |              |                                  |   |                    |   |    |
|  | АМ    |                                       |              |                                  |   |                    |   |    |
|  | AN    |                                       |              |                                  |   |                    |   |    |
| FOREIGN PATENT DOCUMENTS   |       |                                       |              |                                  |   |                    |   |    |
|  |       | DOCUMENT<br>NUMBER                    | DATE         | COUNTRY                          |   | TRANSLATION YES NO |   |    |
|  | AO    | 03/035061                             | 5/1/2003     | wo                               |   |                    |   | NO |
|  | AP    | 03/053970                             | 7/3/2003     | wo                               |   |                    |   | NO |
|  | AQ    |                                       |              |                                  |   |                    |   |    |
|  | AR    |                                       |              |                                  |   |                    |   |    |
|  | AS    |                                       |              |                                  |   |                    |   |    |
|  | АТ    |                                       |              |                                  |   |                    |   |    |
|  | AU    |                                       |              |                                  |   |                    |   |    |
|  | ΑV    |                                       |              |                                  |   |                    |   |    |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |       |                                       |              |                                  |   |                    |   |    |
|  | AW    |                                       |              |                                  |   |                    |   |    |
|  | AX    |                                       |              |                                  |   |                    |   |    |
|  | AY    |                                       |              |                                  |   |                    |   |    |
|  | AZ    |                                       |              |                                  | Additional References sheet(s) attached |                    |   |    |
| Examiner   |       |                                       |              |                                  | Date Considered                         |                    |   |    |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |       |                                       |              |                                  |   |                    |   |    |

APR 2 6 2006

U.S. PCT Application Serial No. 74000,400

Filed: January 30, 2006

Ramon MERCE VIDAL, et al.

Docket No. 284330 US

# STATEMENT OF RELEVANCY

- 1) References have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References AA-AC & AO-AP have been cited in the corresponding Spanish Search Report. A copy of these references is being submitted herewith.
- 3) References are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.